**The University of West Alabama**

**Financial Conflict of Interest**

**Disclosure Form**

New  Update

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Investigator's Full Name Date Employee ID Number Department School/College Sponsoring Agency Title of Project

Proposed/Awarded Amount Proposal/Award numerical identifier

The purpose of this disclosure statement is for investigators at The University of West Alabama (UWA) to ensure that no unresolved conflict exists between their outside financial interests and their commitment to their research or educational activities funded by the National Science Foundation (NSF) or the Public Health Service (PHS). This statement conforms with current Federal guidelines 42 CFR Part 50 and NSF Proposal & Award Policies & Procedures Guide (PAPPG) requiring limited and targeted financial disclosure and review of the disclosure by a responsible university representative. This statement discloses specific facts to help the appropriate reviewer determine if a conflict of interest exists so that UWA can manage, reduce, or eliminate the conflict.

1. Are you or a member of your immediate family, a director, officer, partner, employee, or agent, or in any managerial position in any business enterprise outside of UWA that might affect or be affected by your activities under the referenced proposal or award?

**Yes** (if so, describe in detail the nature and extent of the affiliation on an attached sheet) (create a text box here)

# No

1. Are you or your spouse or dependents the actual or beneficial owner of more than five percent (5%) of the voting stock or controlling interest of any business enterprise in your field of academic interest or specialization as it specifically relates to the referenced proposal or award?

**Yes** (if so, describe in detail the nature and extent of the affiliation on an attached sheet)

# No

1. In the past 12 months, have you, your spouse, or your dependent children received more than $5,000 in total from any publicly traded or non-publicly traded entity (including salary, consulting fees, honoraria, or paid authorship), or do you hold any equity interest in a non-publicly traded entity, or have you received income from intellectual property rights such as patents or copyrights?

**Yes** (if so, describe in detail the nature and extent of the affiliation on an attached sheet)

# No

1. Do you or members of your immediate family have any other significant financial interest, including salary or other payments for services, reimbursed or sponsored travel paid by an entity (including non-profit organizations, but excluding U.S. government agencies, and U.S. institutions of higher education), and/or intellectual property rights in any business enterprise in your field of academic interest or specialization as it specifically relates to the referenced proposal or award?

**Yes** (if so, describe in detail the nature and extent of the affiliation on an attached sheet)

# No

Certification: I have read and understand the UWA Financial Conflict of Interest Disclosure Form; have made all financial disclosures required; and will comply with any conditions or restrictions imposed to manage, reduce or eliminate actual or potential conflicts of interest.

Signature Date

# Reviewer's Certification

I certify that to the best of my knowledge the person named above has disclosed all reportable outside interests and activities. I further certify that I have reviewed the disclosure and have determined:

no conflict exists

any conflict has been managed, reduced, or eliminated

the conflict cannot be resolved, please notify the sponsoring agency (additional information is attached)

Signature

Typed/Printed Name: Title: Date: